

The world's number one Registrar & Transfer Agent (RTA) system

Percival's **REGARD** system is used by RTAs and CSDs around the globe.

REGARD offers the richest RTA functionality, based on 25 years of business and IT development.



Maximises business and IT benefits for your Organisation

REGARD offers an unparalleled range of RTA functionality, business development and IT efficiency for your organisation. Clearing & Settlement (BIS models 1-3, advanced optimisation)

- Full range of Registry functions
- CREST Integration
- Extensive corporate actions processing with multi-currency payments and fractions processing
- Comprehensive IPO processing
- Shareholders meetings inclusive of online and CREST voting as well as attendances and poll voting on the day
- Processing of takeovers
- DRIP schemes
- Employee share and option schemes
- Nominee register with automatic 793 (212) letters of enquiry
- Accounting can be physical (with certificates), book-entry, or hybrid for any particular instrument or share register
- Integration with brokers & custodians for STP transaction handling
- Flexible report generator and scheduler with automatic mailing
- Flexible billing module for bureau operation
- Easily configurable GUI
- Web access module

REGARD provides an extensive range of corporate actions for equity and debt instruments. Other asset types are easily configured.

REGARD's Successes

9 RTA sites worldwide
8 CSDRs, 2 of which are entering T2S

International Compliance

REGARD's design supports:

- ISO data handling and messaging standards
- FACTA reporting
- FATF monitoring for money laundering and antiterrorism
- BACS & SEPA payment integration
- Integrates with Percival's message hub solution to support ISO15022 and ISO20022 messages
- T2S ready

Automation

REGARD maximizes business automation by flexibly scheduling regular jobs and operations including:

- Corporate event processing
- Issuer reporting
- Investor notifications
- Predator detection
- Automatic RUR queue processing

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Proof of Concept

Percival have conducted successful proof of concept exercises for RTAs that demonstrate the full range of **REGARD's** business functionality and exception system performance.

Data Migration

Percival have extensive experience, and a developed methodology to handle, migration of data from a wide range of legacy system databases to **REGARD**.

Implementation Service

Percival provide a complete, end-to-end full implementation service for **REGARD**, using PRINCE 2 project management methodology and building on valuable experience from multiple RTA and CSD projects and over 25 years in business.

Consulting Services

Percival's world class business and IT experts provide valuable advice on strategy, business process improvements, expansion of business, services and practical roadmaps for the implementation of international standards and best practice in **REGARD**.

Market Readiness

Percival will work with your organisation's team to guide successful communication, involvement and user testing leading to **REGARD** live date in your RTA.

Post Live Support

Percival have an established support team for the many satisfied RTA customers around the world. **REGARD** has been designed to be easily supported with many features enabling RTAs to save time and money during live operations.

Contact

Please contact us for more details or for a demonstration.

International

REGARD can be regionalised with a fully translatable user interface and complete data dictionary. Easily supports multiple report languages and multi-currency operations.

Open

Issuers, institutional investors and other stakeholders can integrate their internal systems directly with **REGARD** using messaging or directly through the open web service API.

Accessible

The **REGARD** user interface is highly configurable. It can be accessed from a wide range of devices including computers, smart-phones and tablets.

Secure

Supports high levels of security and encryption.

High performance

REGARD's benchmark performance is exceptional and the solution is highly scalable.

REGARD's powerful transaction processing engine handles all updates in real time.

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